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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/296,588	04/23/1999	MINHUA LU	YO998-532	8615

28211 7590 04/07/2004

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EXAMINER


QI, ZHI QIANG

ART UNIT	PAPER NUMBER
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2871

DATE MAILED: 04/07/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Advisory Action</b>	<b>Application No.</b> 09/296,588	<b>Applicant(s)</b>  LU ET AL.	
	<b>Examiner</b> Mike Qi	<b>Art Unit</b> 2871	

**--The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**

THE REPLY FILED 22 March 2004 FAILS TO PLACE THIS APPLICATION IN CONDITION FOR ALLOWANCE. Therefore, further action by the applicant is required to avoid abandonment of this application. A proper reply to a final rejection under 37 CFR 1.113 may only be either: (1) a timely filed amendment which places the application in condition for allowance; (2) a timely filed Notice of Appeal (with appeal fee); or (3) a timely filed Request for Continued Examination (RCE) in compliance with 37 CFR 1.114.

**PERIOD FOR REPLY** [check either a) or b)]

- a) ☐ The period for reply expires \_\_\_\_\_ months from the mailing date of the final rejection.
- b) ☒ The period for reply expires on: (1) the mailing date of this Advisory Action, or (2) the date set forth in the final rejection, whichever is later. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of the final rejection. ONLY CHECK THIS BOX WHEN THE FIRST REPLY WAS FILED WITHIN TWO MONTHS OF THE FINAL REJECTION. See MPEP 706.07(f).

Extensions of time may be obtained under 37 CFR 1.136(a). The date on which the petition under 37 CFR 1.136(a) and the appropriate extension fee have been filed is the date for purposes of determining the period of extension and the corresponding amount of the fee. The appropriate extension fee under 37 CFR 1.17(a) is calculated from: (1) the expiration date of the shortened statutory period for reply originally set in the final Office action; or (2) as set forth in (b) above, if checked. Any reply received by the Office later than three months after the mailing date of the final rejection, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

1. ☐ A Notice of Appeal was filed on \_\_\_\_\_. Appellant's Brief must be filed within the period set forth in 37 CFR 1.192(a), or any extension thereof (37 CFR 1.191(d)), to avoid dismissal of the appeal.
2. ☐ The proposed amendment(s) will not be entered because:
- (a) ☐ they raise new issues that would require further consideration and/or search (see NOTE below);
  - (b) ☐ they raise the issue of new matter (see Note below);
  - (c) ☐ they are not deemed to place the application in better form for appeal by materially reducing or simplifying the issues for appeal; and/or
  - (d) ☐ they present additional claims without canceling a corresponding number of finally rejected claims.

NOTE: \_\_\_\_\_.

3. ☐ Applicant's reply has overcome the following rejection(s): \_\_\_\_\_.
4. ☐ Newly proposed or amended claim(s) \_\_\_\_\_ would be allowable if submitted in a separate, timely filed amendment canceling the non-allowable claim(s).
5. ☒ The a) ☐ affidavit, b) ☐ exhibit, or c) ☒ request for reconsideration has been considered but does NOT place the application in condition for allowance because: See Continuation Sheet.
6. ☐ The affidavit or exhibit will NOT be considered because it is not directed SOLELY to issues which were newly raised by the Examiner in the final rejection.
7. ☒ For purposes of Appeal, the proposed amendment(s) a) ☐ will not be entered or b) ☒ will be entered and an explanation of how the new or amended claims would be rejected is provided below or appended.

The status of the claim(s) is (or will be) as follows:

Claim(s) allowed: \_\_\_\_\_.

Claim(s) objected to: \_\_\_\_\_.

Claim(s) rejected: 1-20.

Claim(s) withdrawn from consideration: \_\_\_\_\_.

8. ☐ The drawing correction filed on \_\_\_\_\_ is a) ☐ approved or b) ☐ disapproved by the Examiner.
9. ☐ Note the attached Information Disclosure Statement(s) (PTO-1449) Paper No(s). \_\_\_\_\_.
10. ☐ Other: \_\_\_\_\_

  
**DUNG T. NGUYEN**  
**PRIMARY EXAMINER**

Continuation of 5. does NOT place the application in condition for allowance because: The applicant's arguments are not persuasive. The reasons are as follows:

1) The claimed invention is a passivation layer adjacent liquid crystal and the material of the passivation layer is amorphous, and according to the specification (page 6, lines 6-10), the material of the amorphous layer is a hydrogenated amorphous carbon silicon, germanium, SiO<sub>2</sub>, SiN<sub>4</sub> or TiO<sub>2</sub>, so that the amorphous layer can be SiO<sub>2</sub>. According to the arguments and the claimed language, the amorphous layer is a conducting amorphous layer and having resistivity of 10<sup>4</sup> and 10<sup>11</sup> ohms-cm. According to the arguments, the SiO<sub>2</sub> is an insulator, and the claims do not have "slightly conducting" for the amorphous layer. Therefore, the specification and the claims and the arguments are totally contradicted each other.

2) The reference Yasukawa shows the passivation layer composed of silicon oxide, and the reference Hanihara shows the silicon oxide film having functions as an alignment film and protection film (passivation), therefore the silicon oxide film clearly adjacent the liquid crystal.

3) The reference Chouan shows the material of amorphous hydrogenated carbon as a passivation layer and have resistivity of 10<sup>12</sup> and 10<sup>14</sup> ohms/cm, and the reference Grinberg shows the silicon dioxide, amorphous silicon have resistivity of 10<sup>9</sup> and 10<sup>11</sup> ohms-cm. The range such as 10<sup>11</sup> ohms-cm is close enough, and the obviousness exists (see MPEM 2144.05 I).